

Course Memorandum

Date: January 13, 2011

Course: Case Study—Public-Private Partnerships: Theory, Practice, and Cases

Instructor: Toshiro Nishizawa

Subject: **Risk Management Revisited**

Dear students,

The class today will again cover risk management issues from the perspectives of the key groups of players involved in PPP projects. In so doing, we will discuss, based on a case in Scotland, how they deal with the risks differently to achieve their objectives. Also, we are going to look at the Indian experience with PPPs (in response to the request made by a few of the students interested in cases in South Asia) to discuss risk management issues.

1. Discussion

Material:

- 1.1 Excerpts (pages 171-195) from Grimsey&Lewis [2004]
- 1.2 Scottish Water, *The Seafield Operating Contract*
[http://www.scottishwater.co.uk/portal/page/portal/SWE_PGP_INVESTMENT/SWE_PGE_INVESTMENT/WHAT_WWTW_SEA/WHAT_SEA_PFI]
- 1.3 Clive Harris and Sri Kumar Tadimalla, *Financing the boom in public-private partnerships in Indian infrastructure—Trends and policy implications*, PPIAF Gridlines Note No. 45 (December 2008)
[<http://www.ppinindia.com/pdf/Gridlines-%20Financing%20PPPs%20India.pdf>]
- 1.4 Excerpts (pages 1-14) from Government of India, *Public Private Partnerships—Creating an Enabling Environment for State Projects* (July 2007)
[http://www.ppinindia.com/pdf/creating_enabling_environment_state_projects-english.pdf]
- 1.5 Summary of Discussion “Session 2: Imperatives of Infrastructure Development in India”, JBIC-ICRIER Conference “Opportunities for Global Partnership between India and Japan—Infrastructure, The Environment, and Finance” (January 6, 2011)
[http://www.jbic.go.jp/en/about/topics/2010/0106-01/100913_icrier_session2.pdf]

2. Logistics for the group presentation on January 20 and 27 (Attachment)

3. Reminder on individual reports (Attachment)

I look forward to active discussion during the class hours.

Best regards,

Toshiro Nishizawa

December 16, 2010
Revised January 13, 2011

Guidelines for Group Presentation and Individual Reports

Group Presentation

1. There are five groups (tentatively numbered in the order of the presentation of the proposals on November 18):

Group 1 Aryal Radhika, Neupane Nirmal, Raghuvanshi Keshav
 Group 2 Hiroshi Matsunawa, Yuna Yamamura
 Group 3 Utami Endah Sari, Ngiang Boon Loong, Weimer Larissa
 Group 4 Nishino Toru, Thida Moe, Neuville Aaron
 Group 5 Jing Wang, Mao Xianfang, ~~(Yamada Mana)~~

- ~~2. The order of group presentation is decided by lottery.~~
3. Each group has 40 minutes (30 minutes for presentation, followed by 10 minutes' Q&A). Time allocation is as follows:

January 20	January 27
18:50-19:30	18:50-19:30
19:30-20:10	19:30-20:10
20:10-20:50	<i>Sorry for taking your extra time ... Party ...?</i>

4. You may use either a power point and the handout, or a handout alone. **Each member of the group is required to speak.**

Individual Reports

1. **Each student** is required to **write his/her own report** based on the presentation of his/her own group or based on your findings during the whole course and to **submit it by 17:00 on Thursday, February 3**, either by e-mail to Nishizawa or to the administration office (where to submit will be confirmed and informed at the class on January 13, 2010).
2. The format of the report should be as follows:
 - ◆ In Microsoft Word
 - ◆ Font type and size: Times New Roman, 12 points (for header and footer: Times New Roman, 11 points)
 - ◆ Line height: 1.5
 - ◆ Top, bottom, left, and right margins: 25mm
 - ◆ The number of words: about 2,000-2,500
 - ◆ Size of the paper: A4 size (210mm x 297mm)
