Graduate School of Public Policy The University of Tokyo

15 October 2012 (Day2) Kyoko Kuwajima

# **GOVERNANCE AND DEVELOPMENT**

**(OVERVIEW: THEORIES AND PRACTICE)** 

## Course Schedule

- Day 1 (October 1): Introduction
- Day 2-3 (October 15&22): Development theories and Issues of governance (Definition of governance, reforming public sector and local governance)
- Day 4 (October 29): Case of local governance reform (Guest Speaker)
- Day 5-8 (November 5,12,19&26): Reforming Sector governance and case studies (incl. Guest Speaker)
- Day 9-11 (December 3,10&17): Democratic governance, state fragility and case studies (incl. Guest Speaker)
- Day 12-14 (January 7,21&28): Aid governance,
   emerging donors and case studies (incl. Guest Speaker)

# Increasing attention to Governance in development community

- ✓ Governance regarded as essential factors for development and poverty reduction
- ✓ Values commonly stressed: legitimacy, accountability, transparency, efficiency and effectiveness of the public sector; participation in decision making and rule of law.
- ✓ Getting good governance, however, implies a wide range of institutional reforms and structural change
- ✓ How could we identify suitable approach to improving governance in country's specific contexts?



## NATIONAL GOVERNANCE SYSTEMS

World Bank's framework to analyze and reform

### Context

Top-down approach to improve accountability of the government

> Checks-andbalances Organizations

Political Systems/Gov.

Accountability = rules, information, and transparency

Key Actors
Accountability
relations

Public Administration and Financial Management Agencies

Bottom-up approach to strengthen accountability and participation

Residents, Communities and Firms Frontline Service
Providers and
Regulators

Outcomes: Quality, quantity of and access to Services

Residents, Communities and Firms

Source: Adapted from Figure 1.1 in B. Levy (2007)

# Discussion Today -1

Literature Review of "Impact of Governance on Development Outcomes and Poverty Reduction"

## Issues:

- ✓ Governance as desirable goals and components
  of development.
- ✓ Co-relations or cause-and-effect relations?
- ✓ Economic development vs. poverty reduction
- ✓ Concept and evidence: Democracy, Rule of Law, Corruption, Decentralization, Public Administration Reform and Public Finance Reform

## Discussion Today -2

Grindle's notion of *Good Enough Governance* Issues:

- ✓ Is good governance an institutional precondition for growth and poverty reduction?
- ✓ What are the essential governance changes and minimal conditions necessary for development to occur in a specific country context?
- ✓ What do they mean by prioritization, sequencing and long-term perspectives?

#### Reference for Day 1 & 2

#### **Required Readings**

- Grindle, Merilee S. (2004) "Good Enough Governance: Poverty Reduction and Reform in Developing Countries" Governance: An International Journal of Policy, Administration, and Institutions. Vol. 17:525-548
  - http://portals.wi.wur.nl/files/docs/File/MSDGhanaGuyana/Grindlegoodenoughgovernance2004.00256.pdf
- Earle, Lucy and Zoë Scott (2010) "Assessing the Evidence of the Impact of Governance on Development Outcomes and Poverty Reduction", Issues Paper, GSDRC Research Service <a href="http://www.gsdrc.org/docs/open/EIRS9.pdf">http://www.gsdrc.org/docs/open/EIRS9.pdf</a>

#### Recommended

- Chhotray, Vasudha and Gerry Stoker (2009) *Governance Theory and Practice*, Chapter 1, Palgrave Macmillan, Hampshire, UK
- Grindle, Merilee S.(2007) "Good Enough Governance Revisited" Development Policy Review, 2007, 25 (5): 553-574 <a href="http://relooney.fatcow.com/00\_New\_1805.pdf">http://relooney.fatcow.com/00\_New\_1805.pdf</a>
- Haan, Arjan de. (2009) How The Aid Industry Works: An Introduction to International Development, Chapter 3, Kumarian Press, USA,
- Levy, Brian (2007) Governance Reform: Bridging Monitoring and Action, World Bank
- Rapley, John (2007) Understanding Development: Theory and Practice in the Third World,

Chapter 1, Third Edition, Lynne Rienner Publishers, USA

https://www.rienner.com/uploads/47e2d55b15183.pdf

#### World Bank (1992) Governance and Development

http://documents.worldbank.org/curated/en/1992/04/440582/governance-development

\_\_\_\_\_ (1997) World Development Report: The state in a changing world

http://wdronline.worldbank.org/worldbank/a/c.html/world\_development\_report\_1997/abstract/

WB.0-1952-1114-6.abstract

#### **Required Readings for Day 3 (October 22)**

Diana Conyers (2007) Decentralisation and Service Delivery: Lessons from Sub-Saharan Africa", Robinson, Mark (2007) "Introduction: Decentralising Service Delivery? Evidence and Policy Implications", "Does Decentralisation Improve Equity and Efficiency in Public Service Delivery Provision?"

IDS Bulletin Volume 38 Number 1 January 2007, IDS <a href="http://www.ids.ac.uk/files/dmfile/1Intro38.11.pdf">http://www.ids.ac.uk/files/dmfile/1Intro38.11.pdf</a>

Saito, Fumio (2008) "Decentralization and Local Governance: Introduction and Overview" Chapter1 in F. Saito ed. *Foundations for Local Governance*, Pysica-Verlag, Heidelberg

#### (Saito's article was moved to the list of recommended in the class)

#### Recommended

Cammack, Diana, Fred Golooba-Mutebi, Fidelis Kanyongolo and Tam O"Neil (2007) "Neopatrimonial Politics, Decentralisation and Local Government: Uganda and Malawi in 2006", Good Governance, Aid Modalities and Poverty Reduction Working Paper 2, Overseas Development Institute, London <a href="http://www.odi.org.uk/resources/docs/5831.pdf">http://www.odi.org.uk/resources/docs/5831.pdf</a>

Levy, Brian (2007) Governance Reform: Bridging Monitoring and Action, World Bank
JICA (2008) Decentralized Service Delivery in East Africa: A Comparative Study of Uganda,
Tanzania and Kenya, Chapter 2, Institute for International Cooperation
<a href="http://jica-ri.jica.go.jp/IFIC\_and\_JBICI-Studies/english/publications/reports/study/topical/africa/index.html">http://jica-ri.jica.go.jp/IFIC\_and\_JBICI-Studies/english/publications/reports/study/topical/africa/index.html</a>

Kent Eaton, Kai Kaiser, and Paul Smoke (2010) *The Political Economy of Decentralization Reforms: Implications for Aid Effectiveness*, World Bank, Washington, D.C <a href="http://siteresources.worldbank.org/EXTDSRE/Resources/DecentralizationReforms.pdf">http://siteresources.worldbank.org/EXTDSRE/Resources/DecentralizationReforms.pdf</a>