

Policy Process and Negotiation
S2 (2019) Intensive
International Academic Research Bldg. SMBC Academia Hall

Lecturers

Hideaki Shiroyama (Professor)
Kentaro Maeda (Associate Professor)
Jihyun Na (Project Assistant Professor)

Course Description

How are public policies formulated, and how are they implemented? What kind of actors are involved in the policy process, and at what stage and to what extent do they exert influence? What are the structural contexts of the policy process? How do policy processes differ across different policy domains and countries in different political, administrative, and institutional settings? This course introduces theories and cases to help students address these questions. It surveys various theories of the policy process, decision making, bureaucratic politics, and negotiation. The course combines lectures and discussions of cases. Students are asked to critically assess the literatures and cases. This course includes guest lectures by Dr. Naomi Aoki relating to leadership, collaborative governance and adaptive governance.

Requirements

Grade assessment will be based on class participation (20%) and four short papers (20% each, 80% total). Each lecturer will guide you during the class about the details of the paper.

Readings

All required readings for the course are available on ITC-LMS.

Schedule

July 24 (10:25-18:35)

1. Introduction (Shiroyama) : 10:25-12:10

Required Readings:

W. N. Dunn (2003), "Chap2: Policy Analysis in the Policy Making Process", in *Public Policy Analysis: An Introduction*.

R. A. W. Rhodes and D. Marsh (1992), "New Direction in the Study of Policy Network" in *European Journal of Political Research*, Vol. 21.

H. Shiroyama and K. Maeda (2008), "Political Change and Policy Innovations: Perspectives from the Policy System Framework", *University of Tokyo Journal of Law and Politics* Vol.5.

2. Theories of the Policy Process

2-1 Models of Policy-Making (Na) : 13:00-14:45

Required Readings:

James G. March and Johan P. Olsen. 1989. *Rediscovering Institutions: The Organizational Basis of Politics*. The Free Press. Chapter 5.

Vaughan, Diane. 1996. *The Challenger Launch Decision: Risky Technology, Culture, and Deviance at NASA*. University of Chicago Press. Chapter 2.
John W. Kingdon. *Agendas, Alternatives, and Public Policies* (Second Edition). Chapters 4, 8.

2-2 Structural Conditions of the Policy Process (Na) : 14:55-16:40

Required Readings:

Pollitt, Christopher and Geert Bouckaert. 2017. *Public Management Reform: A Comparative Analysis*. Oxford University Press. Chapter 1.
Peter Hall. 1986. *Governing the Economy: The Politics of State Intervention in Britain and France*. Oxford University Press. Chapter 1.
David Graeber. 2015. *The Utopia of Rules: On Technology, Stupidity, and the Secret Joys of Bureaucracy*. Melville House. Introduction.

2-3 Group Work for Problem Solving (Na) : 16:50-18:35

July 25 (10:25-16:40)

3. Power and the Policy Process

3-1 Political Theory and the Policy Process (Maeda) : 10:25-12:10

Required Readings:

The Constitution of Japan

https://japan.kantei.go.jp/constitution_and_government_of_japan/constitution_e.html

Elster, Jon. 2015. *Explaining Social Behavior: More Nuts and Bolts for the Social Sciences* (Revised Edition). Cambridge University Press. Chapter 24-25.

3-2 Economic Policymaking (Maeda) : 13:00-14:45

Required Readings:

Amyx, Jennifer Ann. 2004. *Japan's Financial Crisis: Institutional Rigidity and Reluctant Change*. Princeton University Press. Chapter 7.

Lindblom, Charles E. 1977. *Politics and Markets: The World's Political-Economics Systems*. Basic Books. Chapter 13.

Winters, Jeffrey A. 2011. *Oligarchy*. Cambridge University Press. Chapter 5.

3-3 Gender and Public Policy (Maeda) : 14:55-16:40

Required Readings:

Acker, Joan. 1990. "Hierarchies, Jobs, Bodies: A Theory of Gendered Organizations." *Gender & Society* 4(2): 139-158.

Karpowitz, Christopher F., Tali Mendelberg, and Lee Shaker. 2012. "Gender Inequality in Deliberative Participation." *American Political Science Review* 106(3): 533-547.

Mackay, Fiona, Meryl Kenny, and Louise Chappell. 2010. "New Institutionalism through a Gender Lens: Towards a Feminist Institutionalism?." *International Political Science Review* 31(5): 573-588.

July 26 (10:25-16:40)

4. Managing the Policy Process

4-1 Stakeholder Analysis, Problem Definition and Agenda Setting (Shiroyama) : 10:25-12:10

Required Readings:

- E. Bardach (1981), "Problems of Problem Definition in Policy Analysis", *Public Policy Analysis and Management*, vol. 1
- L. Susskind and J. Thomas-Larmer (1999), "Conducting a Conflict Assessment", in L. Susskind, S. McKearnan and J. Thomas-Larmer, eds., *The Consensus-Building Handbook: A Comprehensive Guide to Reaching Agreement*.
- H. Kato, H. Shiroyama, Y. Nakagawa (2014), "Public Policy Structuring Incorporating Reciprocal Expectation Analysis", *European Journal of Operational Research*, Vol. 233, 2014.

4-2 Leadership I: Managing and Leading People (Aoki) : 13:00-14:45

Required Readings:

- Fairholm, M. R. (2004). Different perspectives on the practice of leadership. *Public Administration Review*, 64(5), 577-590.
- Taylor, F. W. (1911). *The principles of scientific management*. New York: Harper & Brothers.
- Westwood, R. I. (1997). Harmony and patriarchy: The cultural basis for "paternalistic headship" among the overseas Chinese. *Organization Studies*, 18, 445-480.

4-3 Leadership II: Leading Decision-making and Negotiation (Aoki) : 14:55-16:40

Required Readings:

- Allison, G. T. (1969). Conceptual models and the Cuban Missile Crisis. *The American Political Science Review*, 63(3), 689-718.
- Lindblom, C. E. (1959). The science of "muddling through." *Public Administration Review*, 19(2), 79-88.
- Simon, H. (1986). Rationality in psychology and economics. *The Journal of Business*, 59(4), S209-S224.

July 27 (10:25-16:40)

4-4 Collaborative Governance and Public Participation in Policy Processes (Aoki) : 10:25-12:10

Required Readings:

- Aoki, N. (2018). Sequencing and combining participation in urban planning: The case of tsunami-ravaged Onagawa Town, Japan. *Cities*, 72(Part B), 226-236.
- Fishkin, J., He, B., Luskin, R., & Siu, A. (2010). Deliberative democracy in an unlikely place: Deliberative polling in China. *British Journal of Political Science*, 40(2), 435-448.
- Fung, A. (2006). Varieties of participation in complex governance. *Public Administration Review*, 66(s1), 66-75.

4-5 Adaptive Governance for Resilience in a Volatile World (Aoki) : 13:00-14:45

Required Readings:

- Bernstein, S., & Cashore, B. (2012). Complex global governance and domestic policies: Four pathways of influence. *International Affairs*, 88(3), 585-604.

Dietz, T., Ostrom, E., & Stern, P. (2003). The struggle to govern the commons. *Science*, 302(5652), 1907-1912.

Djalante, R., Holley, C., & Thomalla, F. (2011). Adaptive governance and managing resilience to natural hazards. *International Journal of Disaster Risk Science*, 2(4), 1-14.

4-6 Introduction of Technology into Society and Transition Management (Shiroyama) : 14:55-16:40

Required Readings:

R. Kemp, J. Schot, R. Hoogma (1998), "Regime Shifts to Sustainability Through Processes of Niche Formation: The Approach of Strategic Niche Management", *Technology Analysis and Strategic Management*, Vol. 10.

J-P. Voss, A. Smith, J. Grin (2009), "Designing Long-term Policy: Rethinking Transition Management", *Policy Science*, vol. 42.

H. Shiroyama and S. Kajiki (2016), "Case Study of Eco-Town Project in Kitakyushu - Tension among Incumbents and the Transition from Industrial City to Green city", in D. Loorbach, J. Wittmayer, H. Shiroyama, Junichi Fujino and Satoru Mizuguchi, eds. *Governance of Urban Sustainability Transitions: European and Asian Experiences*.